JOURNAL OF UNIVERSITY OF SCIENCE AND TECHNOLOGY OF CHINA

Vol. 46, No. 5 May 2 0 1 6

Article ID: 0253-2778(2016)05-0358-11

# Charm physics at BESIII

MA Hailong (for the BESIII Collaboration)

(Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, China)

**Abstract:** Based on 2. 93 and 0. 567 fb<sup>-1</sup> data taken at the center-of-mass energies  $\sqrt{s} = 3.773$  and 4. 599 GeV with the BESIII detector, we report the precise measurements of the decay constant  $f_{D^+}$ , the form factors of D semileptonic decays, the Dalitz plot analysis of  $D^+ \to K_S^0 \pi^+ \pi^0$ , the strong phase differences in  $D \to K_{S/L}^0 \pi^+ \pi^-$  and  $K^- \pi^+$ , the  $D^0 \overline{D}^0$  mixing parameter  $y_{CP}$ , the searches for 2-body hadronic decays  $D^{0(+)} \to \omega \pi^{0(+)}$ , rare decays of  $D^0 \to \gamma \gamma$  and  $D^+ \to K(\pi)^{\pm} e^{\mp} e^{+}$  as well as the significant improved measurements of the absolute branching fractions for  $\Lambda_c^+ \to \Lambda e^+ \nu_e$  and 12 hadronic final states.

**Key words:** charmed meson and baryon; leptonic and semileptonic decays; hadronic decay; rare decay; decay constant; form factor; strong phase; quark mixing matrix element

**CLC** number: O572. 3

Document code: A

doi:10.3969/j.issn.0253-2778.2016.05.002

**Citation:** MA Hailong. Charm physics at BESIII[J]. Journal of University of Science and Technology of China, 2016,46(5):358-368.

### BESIII 粲物理

马海龙(BESIII 合作组)

(中国科学院高能物理研究所,北京 100049)

摘要:分析 BESIII 探测器在质心系能量 3.773 和 4.599 GeV 采集的 2.93 和 0.567 fb<sup>-1</sup>数据,报道了衰变常数  $f_{D}$ +和 D 介子半轻子衰变的形状因子, $D^+ \to K_S^0 \pi^+ \pi^0$  的 Dalitz 图分析, $D \to K_{S/L}^0 \pi^+ \pi^-$  和  $K^- \pi^+$  强相差, $D^0 \overline{D}^0$  混合参数  $y_{\rm CP}$ 的测量; 2 体强子衰变  $D^{0(+)} \to \omega \pi^{0(+)}$ ,稀有衰变  $D^0 \to \gamma \gamma$  和  $D^+ \to K(\pi)^{\pm} e^{\mp} e^+$  的寻找以及  $\Lambda_c^+ \to \Lambda e^+ \nu_e$  和 12 个强子衰变绝对分支比的测量.

关键词: 粲介(重)子; 纯轻和半轻子衰变; 强子衰变; 稀有衰变; 衰变常数; 形状因子; 强相角; 夸克混合矩阵

#### 0 Introduction

Precision measurements of charm decays provide rich information to better understand strong and weak effects. Firstly, the (deferential) decay rates of the *D* leptonic and semileptonic

decays can be simply functioned as decay constant  $f_{D^+}$  or form factors and CKM matrix element  $|V_{cs(d)}|$ . From an analysis of the D leptonic and semileptonic decays, we can determine these elementary constants, thus calibrating the LQCD calculation on  $f_{D^+}$  and the form factors and testing

Received: 2015-11-30; Revised: 2016-04-20

Foundation item: Supported by the National Natural Science Foundation of China (10935007, 11305180), and the National Program on Key Basic Research Project of China (973 Program) (2009CB825200, 2015CB856700).

the CKM matrix unitarity.

Secondly, studies of D hadronic decays are important due to several aspects. At  $\psi(3770)$ , the quantum correction property of  $D^0$  meson production provides an access to CP asymmetry in  $D^0\overline{D}^0$  mixing and strong phase parameters which can be used to constrain  $\gamma/\phi_3$  and to further test the CKM matrix unitarity. Improved knowledge of 2-body decays is helpful for understanding U-spin and SU(3) flavor symmetry breaking effects. Datlitz plot analysis of 3-body decays can provide rich information about the parameters of subresonances and strong phases.

Thirdly, in the Standard Model (SM), the Flavor Changed Neutral Current (FCNC) process and the Leptonic Number Violation (LNV) process are highly suppressed. However, some new dynamics beyond the SM may enhance these kinds of processes to observable level at BESIII. So, search for these rare decays can be used to probe for New Physics beyond the SM. Any evidence of rare decay and CP violation in charm decays or significant deviation of CKM unitarity may indicate New Physics beyond the SM.

Finally, compared to charmed meson decays, the knowledge of charmed baryon  $\Lambda_c^+$  decays is still very poor. It is desired to improve the measurements of the known decays and search for new decay modes. Significantly improved knowledge of the decay rates or dynamics of charm decays can also provide better inputs for beauty physics.

Herein, we report recent results on the studies of the leptonic, semileptonic and hadronic decays of  $D^0$ ,  $D^+$  and  $\Lambda_c^+$ . These are based on 2. 93<sup>[1]</sup> and 0. 567<sup>[2]</sup> fb<sup>-1</sup> data at  $\sqrt{s}=3.773$  and 4. 599 GeV, where  $D^0\overline{D}{}^0$ ,  $D^+D^-$  and  $\Lambda_c^+\overline{\Lambda}_c^-$  are produced in pairs, taken with the BESIII detector<sup>[3]</sup>. Throughout the proceeding, charge conjugate is implied.

## 1 D leptonic and semileptonic decay

In the Standard Model, the  $D^+$  mesons decay

into  $l_{\nu_l}$  via a virtual  $W^+$  boson. The decay rate of the leptonic decays  $D^+ \rightarrow l^+ \nu_l$  can be parameterized by the  $D^+$  decay constant  $f_{D^+}$  via

$$\Gamma(D^{+} \rightarrow l^{+} \nu_{l}) = \frac{G_{F}^{2}}{8\pi} |V_{al}|^{2} f_{D^{+}}^{2} m_{l}^{2} m_{D^{+}} \left(1 - \frac{m_{l}^{2}}{m_{D^{+}}^{2}}\right)$$

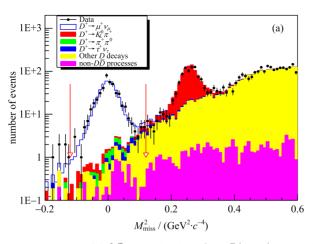
$$\tag{1}$$

where  $G_F$  is the Fermi coupling constant,  $|V_{\alpha l}|$  is the quark mixing matrix element,  $m_l$  and  $m_{D^+}$  are the lepton and  $D^+$  masses. To investigate the leptonic decay  $D^+ \rightarrow \mu^+ \nu_{\mu}^{[4]}$ , the singly tagged  $D^$ mesons are reconstructed using 9 hadronic decays  $K^{+}\pi^{-}\pi^{-}$ ,  $K^{0}_{S}\pi^{-}$ ,  $K^{0}_{S}K^{-}$ ,  $K^{+}K^{-}\pi^{-}$ ,  $K^{+}\pi^{-}\pi^{-}\pi^{0}$ ,  $\pi^{+}\pi^{-}\pi^{-}$ ,  $K_{S}^{0}\pi^{-}\pi^{0}$ ,  $K^{+}\pi^{+}\pi^{-}\pi^{-}\pi^{-}$  and  $K_{S}^{0}\pi^{+}\pi^{-}\pi^{-}$ . From these, we accumulate  $(170.31\pm0.34)\times10^4$ singly tagged  $D^-$  mesons. Fig. 1 (a) shows the  $M_{\text{miss}}^2$  distribution of the  $D^+ \rightarrow \mu^+ \nu_\mu$  candidates, which are selected in the systems against the singly tagged  $D^-$  mesons. We obtain  $409\pm21~D^+\rightarrow \mu^+\nu_\mu$ signals after background subtraction, which leads to the branching fraction  $\mathcal{B}(D^+ \rightarrow \mu^+ \nu_{\mu}) = (3.71 \pm$  $0.19_{\rm stat.} \pm 0.06_{\rm sys.}$  )  $\times 10^{-4}$ . Using the measured  $\mathcal{B}(D^+ \rightarrow \mu^+ \nu_{\mu})$  and the quark mixing matrix element  $|V_{ad}|$  from a global Standard Model fit<sup>[5]</sup>, we determine the  $D^+$  decay constant  $f_{D^+} = 203.2 \pm$ 5.  $3_{\text{stat.}} \pm 1.8_{\text{sys.}}$  MeV. Fig. 1(b) compares the  $f_{D^+}$ measured at BESIII and CLEO<sup>[6]</sup> as well as those calculated by recent theories. The  $\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu)$ and  $f_{D^{+}}$  measured at BESIII are consistent within errors with previous measurements, but with the precision. By using the best measured  $\mathcal{B}(D^+ \rightarrow \mu^+ \nu_{\mu})$  and the LQCD calculation on  $f_{D^{+}}^{[7]}$ , we determine

 $\mid V_{\it ad} \mid$  = 0.2210  $\pm$  0.058 $_{\rm stat.}$   $\pm$  0.047 $_{\rm sys.}$  , which has the best precision in the world to date.

On the other hand, the D semileptonic decays can be parameterized by the quark mixing matrix element and the form factor of hadronic weak current simply, thus providing an ideal window to probe for the weak and strong effects. For example, the differential decay rates of  $D \rightarrow K(\pi)e^+\nu_e$  can be simply written as

$$\frac{\mathrm{d}\Gamma}{\mathrm{d}\sigma^2} = \frac{G_F^2}{24\pi^3} \mid V_{\alpha(d)} \mid^2 p_{K(\pi)}^3 \mid f_+^{K(\pi)} (q^2) \mid^2 \quad (2)$$



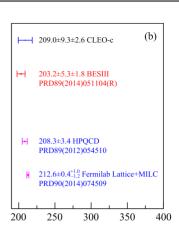


Fig. 1 (a)  $M_{\text{miss}}^2$  distribution of the  $D^+ \rightarrow \mu^+ \nu_\mu$  candidates. (b) Comparison of  $f_{D^+}$ 

where  $G_F$  is the Fermi coupling constant,  $|V_{cs(d)}|$  is the quark mixing matrix element,  $p_{K(\pi)}$  is the kaon (pion) momentum in the  $D^0$  rest frame,  $f_+^{K(\pi)}(q^2)$  is the form factor of hadronic weak current depending on the square of the four momentum transfer  $q = p_D - p_{K(\pi)}$ . To investigate the semileptonic decays  $D^0 \to K(\pi)^- e^+ \nu_e^{[8]}$ , we reconstruct the singly tagged  $\overline{D}^0$  mesons using 5 hadronic decays of  $K^+\pi^-$ ,  $K^+\pi^-\pi^0$ ,  $K^+\pi^-\pi^-\pi^+$ ,  $K^+\pi^-\pi^-\pi^+\pi^0$  and  $K^+\pi^-\pi^0\pi^0$ , which give  $(279.33\pm0.37)\times10^4$  singly tagged  $\overline{D}^0$  mesons. Based on  $70.727\pm278$   $D^0\to K^-e^+\nu_e$  and  $6297\pm87$  and  $D^0\to\pi^-e^+\nu_e$  signals, we determine the branching fractions

$$\mathcal{B}(D^0 \to K^- \ e^+ \ \nu_e) =$$

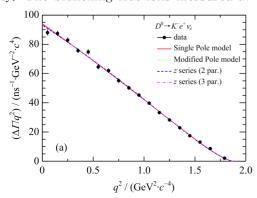
$$(3.505 \pm 0.014_{\text{stat.}} \pm 0.033_{\text{sys.}}) \%$$

and

$$\mathcal{B}(D^0 \to \pi^- \ e^+ \ \nu_e) =$$

$$(0.295 \ 0 \pm 0.004 \ 1_{\text{stat.}} \pm 0.002 \ 6_{\text{svs.}}) \%,$$

respectively. The branching fractions measured at



BESIII are consistent within errors with previous measurements, but with the best precision. Fig. 2 shows the fits to the partial widths for  $D^0 \rightarrow K^- e^+ \nu_e$ and  $D^0 \rightarrow \pi^- e^+ \nu_e$  using the Simple Pole model<sup>[9]</sup>, the Modified Pole model<sup>[9]</sup>, the two-parameter series expansion (Series. 2. Par.)[10] and the threeparameter series expansion (Series. 3. Par.)<sup>[10]</sup>. From the fits, we obtain the parameters of different models. With the extracted  $f_{+}^{K(\pi)}(0) |V_{\alpha(d)}|$  based on two-parameter series expansion and the expected  $f_{+}^{K(\pi)}(0)$  by LQCD<sup>[11-12]</sup>, we determine the quark mixing matrix elements  $|V_{\alpha(d)}|$ . Fig. 3 compares the  $|V_{\alpha(d)}|$ extracted at BESIII with the ones from different experiments.

To study the semileptonic decays  $D^+ \rightarrow K_L^0 e^+ \nu_e$ ,  $D^+ \rightarrow K^- \pi^+ e^+ \nu_e$  and  $D^+ \rightarrow \omega (\phi) e^+ \nu_e$ , we use 6 hadronic decays of  $K^+ \pi^- \pi^-$ ,  $K^+ \pi^- \pi^- \pi^0$ ,  $K_S^0 \pi^-$ ,  $K_S^0 \pi^- \pi^0$ ,  $K_S^0 \pi^+ \pi^- \pi^-$  and  $K^+ K^- \pi^-$ . With about 24 thousands of  $D^+ \rightarrow K_L^0 e^+ \nu_e$  signals<sup>[13]</sup>, we make

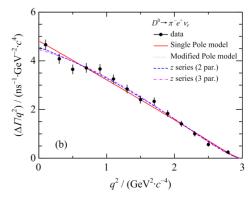
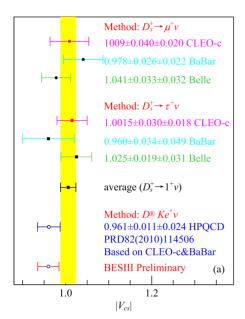


Fig. 2 Fits to the partial widths of (a)  $D^0 \rightarrow K^- e^+ \nu_e$  and (b)  $D^0 \rightarrow \pi^- e^+ \nu_e$ 



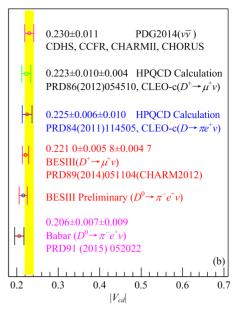


Fig. 3 Comparison of the extracted (a)  $|V_{cs}|$  and (b)  $|V_{at}|$  based on different experiments

first measurement of the branching fraction  $\mathscr{B}(D^+\!\!\to\! K_L^0 e^+\,\nu_e)=(4.482\pm0.027_{\rm stat.}\pm0.103_{\rm sys.})\%$  and the CP asymmetry

 $A_{\text{CP}}^{b^+ \to K_L^0 e^+ \nu_e} = (-0.59 \pm 0.60_{\text{stat.}} \pm 1.50_{\text{sys.}}) \%,$  supporting that there is no CP asymmetry in this decay. In addition, we perform simultaneous fit to the event density  $I(q^2)$  for different tag modes with the two-parameter series expansion and obtain the product of

 $f_{+}^{\rm K}$  (0)  $\mid V_{\mbox{\tiny CS}}\mid =$  0.728  $\pm$  0.006  $_{\rm stat.}$   $\pm$  0.011  $_{\rm sys.}$  .

Using 18 262  $D^+ \rightarrow K^- \pi^+ e^+ \nu_e$  candidates<sup>[14]</sup> which is almost background free, we determine the branching fraction

$$\mathcal{B}(D^+ \to K^- \pi^+ e^+ \nu_e) = (3.71 \pm 0.03 \pm 0.08) \%.$$

A partial wave analysis (PWA) is performed on the selected candidates, with results shown in Fig. 4. The PWA results show that the dominant  $\overline{K}^{*0}$  component is accompanied by an S-wave

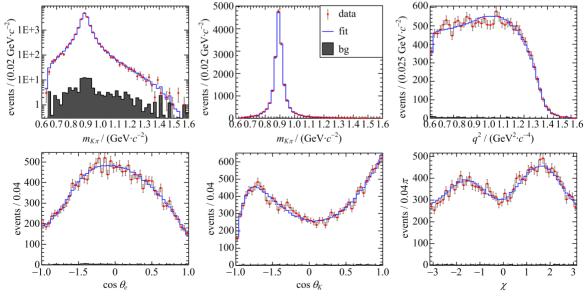


Fig. 4 Projections of the kinematic variables of PWA for  $D^+ \to K^- \pi^+ e^+ \nu_e$ , where  $m_{K\pi}$  is the  $K\pi$  mass,  $q^2$  is the  $e\nu_e$  mass square,  $\theta_K$  is the angle between  $\pi$  and D momenta in the  $K\pi$  rest frame,  $\theta_e$  is the angle between  $\nu_e$  and D momenta in the  $e\nu_e$  rest frame and  $\chi$  is the angle between the two decay planes. The dots with error bars are data, the blue curves are the weighted signal MC and the hatched histograms are the simulated backgrounds

contribution accounting for  $(6.05\pm0.22\pm0.18)\%$ of the total rate, and other components can be negligible. We obtain the mass and width of  $\overline{K}^{*0}(892)M_{\overline{K}^{*0}(892)} = (894.60 \pm 0.25 \pm 0.08) \text{ MeV}/c^2$ and  $\Gamma_{\overline{K}^{*0}(892)} = (46.42 \pm 0.56 \pm 0.15) \text{ MeV}/c^2$ , the Blatt-Weisskopf parameter  $r_{\rm BW}=3.07\pm0.26\pm$ 0. 11  $(\text{GeV}/c)^{-1}$ , as well as the parameters of the hadronic form factors  $r_V = \frac{V(0)}{A_1(0)} = 1.411 \pm 0.058 \pm$ 0.007,  $r_2 = \frac{A_2(0)}{A_1(0)} = 0.788 \pm 0.042 \pm 0.008$ ,  $m_V =$  $(1.81^{+0.25}_{-0.17}\pm 0.02)~{
m MeV}/c^2,~m_A=(2.61^{+0.22}_{-0.17}\pm$ 0.03) MeV/ $c^2$ ,  $A_1(0) = 0.585 \pm 0.011 \pm 0.017$ . In the above PWA process, the phase of the nonresonant background  $\delta_S(m_{K\pi})$  is factorized by the LASS parameterizations, and the helicity form factors  $H_{+}(q^2, m_{K\pi}), H_{-}(q^2, m_{K\pi})$  and  $H_{0}(q^2, m_{K\pi})$ are parameterized by the spectroscopic pole dominance (SPD) model<sup>[15-17]</sup>. We also make model-independent measurements of  $\delta_S(m_{K\pi})$ , and the helicity form respectively. The results are consistent with the expectations of the corresponding models and previous measurements.

Based on  $491\pm32~D^+\rightarrow\omega~e^+\nu_e$  signals<sup>[18]</sup>, we determine the branching fraction  $\mathcal{B}(D^+\rightarrow\omega~e^+\nu_e)=$   $(1.63\pm0.11_{\mathrm{stat.}}\pm0.08_{\mathrm{sys.}})\times10^{-3}$ , which is consistent with previous measurements but with better precision. We perform amplitude analysis of  $D^+\rightarrow\omega~e^+\nu_e$  for the first time, and obtain the ratios of the hadronic form factors to be  $r_V=\frac{V(0)}{A_1(0)}=1.24\pm0.09_{\mathrm{stat.}}\pm0.06_{\mathrm{sys.}}$  and  $r_2=\frac{A_2(0)}{A_1(0)}=1.05\pm0.15_{\mathrm{stat.}}\pm0.05_{\mathrm{sys.}}$ . Also, we search for  $D^+\rightarrow\phi e^+\nu_e$ , but do not find obvious signal. So, we set the upper limit on the branching fraction for  $D^+\rightarrow\phi e^+\nu_e$  to be  $1.3\times10^{-5}$  at 90% confidence level, which is significantly better than previous searches.

#### 2 D hadronic decays

We perform Dalitz plot analysis on the 3-body decay  $D^+ \rightarrow K_S^0 \pi^+ \pi^{0[19]}$ . Based on 166 694 candidate events with a background of about 15%,

we fit the distribution of data to a coherent sum of six intermediate resonances plus a nonresonant component with a low mass scalar resonance  $\bar{\kappa}$  included, with results shown in Fig. 5. From the analysis, we obtain the fitted fraction for each component.

Combining the fitted fractions and the world averaged branching fraction for  $D^+ \rightarrow K_S^0 \pi^+ \pi^0$  (6. 99±0. 27) %<sup>[20]</sup>, we obtain the partial branching fractions as summarized in Tab. 1.

Tab. 1 Summary of the partial branching fractions, where the uncertainties are statistical, experimental systematic and modeling systematic, respectively

$D^+ \rightarrow$	partial $\mathcal{B}(\%)$
$K_{\rm S}^0\pi^+\pi^0$ nonresonance	$0.32\pm0.05\pm0.25^{+0.28}_{-0.25}$
$\rho^+ K_S^0, \rho^+ \rightarrow \pi^+ \pi^0$	$5.83\pm0.16\pm0.30^{+0.45}_{-0.15}$
$\rho(1450)^+ K_S^0, \rho(1450)^+ \rightarrow \pi^+ \pi^0$	$0.15\pm0.02\pm0.09^{+0.07}_{-0.11}$
$\overline{K}^* (892)^0 \pi^+, \overline{K}^* (892)^0 \rightarrow K_S^0 \pi^0$	0.250 $\pm$ 0.012 $\pm$ 0.015 $^{+0.025}_{-0.024}$
$\overline{K}_{0}^{*} (1430)^{0} \pi^{+}, \overline{K}_{0}^{*} (1430)^{0} \rightarrow K_{0}^{0} \pi^{0}$	0.26 $\pm$ 0.04 $\pm$ 0.05 $\pm$ 0.06
$\overline{K}^* (1680)^0 \pi^+, \overline{K}^* (1680)^0 \rightarrow K_5^0 \pi^0$	$0.09\pm0.01\pm0.05^{+0.04}_{-0.08}$
$\kappa^0 \pi^+, \kappa^0 \rightarrow K_{\rm S}^0 \pi^0$	$0.54\pm0.09\pm0.28^{+0.36}_{-0.19}$
$NR+_{m{\kappa}^{0}}^{-0}\pi^{+}$	$1.30\pm0.12\pm0.12^{+0.12}_{-0.30}$
$K\S\pi^0$ S-ware	$1.21\pm0.10\pm0.16^{+0.19}_{-0.27}$

At present, among the 3 angles of CKM triangle,  $\alpha/\phi_2$ ,  $\beta/\phi_1$  and  $\gamma/\phi_3$ , the  $\gamma/\phi_3$  is the least precisely measured. The  $\gamma/\phi_3$  can be constrained by the phase differences  $c_i$  and  $s_i$  of  $D^0$  and  $\overline{D}^0$ . Here,  $c_i$  and  $s_i$  denote the weighted average of  $\cos \Delta \delta_D$  and  $\sin \Delta \delta_D$ , where  $\Delta \delta_D$  is the phase difference of  $D^0$  and  $\overline{D}^0$ . We perform binned Dalitz plot analysis of  $D^0 \rightarrow K_{S/L}^0 \pi^+ \pi^-$  by using the flavored tags  $K^-\pi^+$ ,  $K^-\pi^+\pi^0$  and  $K^-\pi^+\pi^+\pi^-$ , the CP even tags  $K^+K^-$ ,  $\pi^+\pi^-$ ,  $K^0_S\pi^0\pi^0$  and  $K_L^0\pi^0$ , as well as the CP odd tags  $K_S^0\pi^0$ ,  $K_S^0\eta(\gamma\gamma)$ ,  $K_S^0 \eta (\pi^+ \pi^- \pi^0), K_S^0 (\pi^+ \pi^- \pi^0)$  and  $K_S^0 \eta'^{[21]}$ . The extracted  $c_i$  and  $s_i$  with only statistical uncertainties are compared to the CLEO measurement [22-23] and the model prediction in Fig. 6. Our results represent a significant statistical improvement over previous measurements, which will allow for increased precision in the measurement of the unitarity triangle  $\gamma/\phi_3$  using the decay  $B^{\pm} \rightarrow$  $D(K_S^0\pi^+\pi^-)K^{\pm}$  through the GGSZ method<sup>[24]</sup>.

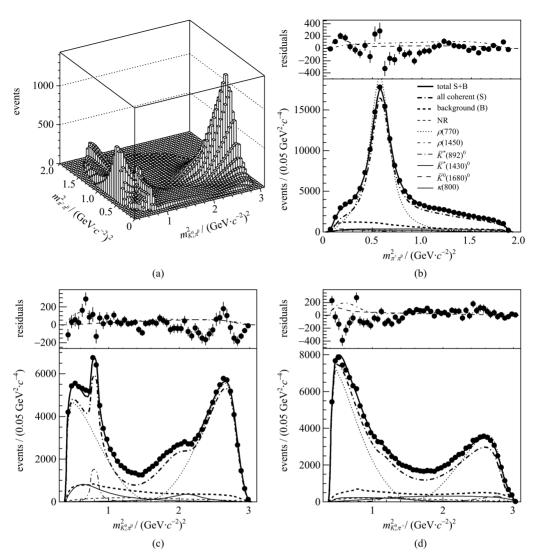


Fig. 5 The results of fitting the  $D^+ \to K_S^0 \pi^+ \pi^0$  data (a). Distribution of fitted p. d. f. and projections on (b)  $m_{\pi^+\pi^0}^{2+}$ , (c)  $m_{K_S^0\pi^0}^{2}$  and (d)  $m_{K_S^0\pi^+}^{2}$ . Residual between the data and total p. d. f are shown by dots with statistical error bars in the top insets with minor contributions from  $\rho(1450)^+$  and  $\overline{K}^*$  (1680) $^0$ 

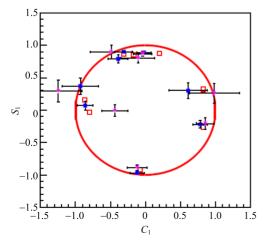


Fig. 6 The extracted  $s_i$  versus  $c_i$  from  $D \to K_{S/L}^0 \pi^+ \pi^-$ . The blue dot, pink triangle and red rectangle represent BESIII, CLEO and model prediction, respectively

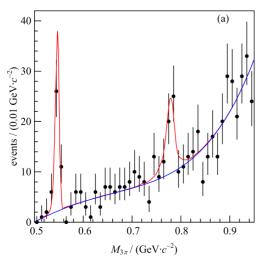
We determine the  $D^0\overline{D}^0$  mixing parameter  $y_{\text{CP}} = (-2.1 \pm 1.3_{\text{stat.}} \pm 0.7_{\text{sys.}})\%$ , by analysis of  $D^0 \rightarrow K^- l^+ \nu_l (l = e \text{ and } \mu)$  using the CP even tags  $K^+ K^-$ ,  $\pi^+ \pi^-$  and  $K^0_S \pi^0 \pi^0$ , and the CP odd tags  $K^0_S \pi^0$ ,  $K^0_S \eta$  and  $K^0_S \omega^{[25]}$ . This result is compatible with the previous measurement with about two standard deviations. However, the precision is still statistically limited and less precise than the current world average.

Measurement of the strong phase difference between  $D^0$  and  $\overline{D}^0$  is important to relate to the  $D^0\overline{D}^0$  mixing parameters x and y from x' and y'. We measure the  $D \rightarrow K^-\pi^+$  strong phase difference based on analysis of  $D^0 \rightarrow K^-\pi^+$  and  $K^+\pi^-$  using the CP even tags  $K^+K^-$ ,  $\pi^+\pi^-$ ,  $K_S^0\pi^0\pi^0$ ,  $\pi^0\pi^0$  and  $\rho^0\pi^0$ , and the CP odd tags  $K_S^0\pi^0$ ,  $K_S^0\eta$  and  $K_S^0\omega^{[26]}$ . We determine the asymmetry of  $\mathcal{A}_{K\pi}^{CP}$  of the branching fraction of  $D \rightarrow K^-\pi^+$  in CP-odd and CP-even eigensates to be  $(12.7 \pm 1.3 \pm 0.7)\%$ . With external inputs of  $r^2 = (3.50 \pm 0.04) \times 10^{-3}$ ,  $y = (6.7 \pm 0.9) \times 10^{-3}$  from HFAG<sup>[27]</sup> and  $R_{WS} = (3.80 \pm 0.05) \times 10^{-3}$  from PDG<sup>[20]</sup>. The  $\cos \delta_{K\pi}$  is determined to be  $1.02 \pm 0.11_{\text{stat}} \pm 0.06_{\text{sys}} \pm 0.01_{\text{input}}$ .

It is expected that  $\mathcal{B}(D^{0(+)} \rightarrow \omega \pi^{0(+)})$  is at  $10^{-4}$ level<sup>[28]</sup>. CLEO searched for and did not observe the  $D^0 \rightarrow \omega \pi^0$  and  $D^+ \rightarrow \omega \pi^+$  signals using single tag method<sup>[29]</sup>. They set the upper limits on these two decay branching fractions to be 2.  $6 \times 10^{-4}$  and 3.  $4 \times$  $10^{-4}$  at 90% confidence level, respectively. We search for  $D^0 \rightarrow \omega \pi^0$  and  $D^+ \rightarrow \omega \pi^+$  by using double tag method<sup>[30]</sup> with the fitted  $\pi^+\pi^-\pi^0$  invariant mass spectra shown in Fig. 7. The significance of the  $D^0 \rightarrow$  $\omega \pi^0$  and  $D^+ \rightarrow \omega \pi^+$  signals are 4.1 $\sigma$  and 5.4 $\sigma$ , respectively. These two branching fractions are determined to be  $\mathcal{B}(D^0 \rightarrow \omega \pi^0) = (1.05 \pm 0.41_{\text{stat.}} \pm$  $0.09_{\text{sys}}) \times 10^{-4} \text{ and } \mathcal{B}(D^+ \rightarrow \omega \pi^+) = (2.74 \pm 0.58_{\text{stat.}} \pm$  $0.17_{\rm sys.}$ )  $\times$   $10^{-4}$ . Also, we confirm that the  $\omega$ helicity angle of the  $D^{0(+)} \rightarrow \omega \pi^{0(+)}$  candidates follow the expected  $H_{\omega}^2 = \cos^2 \theta_{\text{helicity}}$  formalism.

#### 3 D rare decays

Search for the FCNC and LNV rare decays of



charmed mesons can shed some lights on New Physics beyond the SM. At BESIII, we have searched for the rare decays of  $D^0 \rightarrow \gamma \gamma^{[31]}$  and  $D^+ \rightarrow K (\pi)^{\pm} e^{\mp} e^{+[32]}$  with double and single tag methods, respectively. No significant signals are observed, thus we set the upper limits of their branching fractions to be  $\mathcal{B}(D^0 \rightarrow \gamma \gamma) < 3.8 \times 10^{-6}$ ,  $\mathcal{B}(D^+ \rightarrow K^+ e^+ e^-) < 1.2 \times 10^{-6}$ ,  $\mathcal{B}(D^+ \rightarrow K^- e^+ e^+) < 0.6 \times 10^{-6}$ ,  $\mathcal{B}(D^+ \rightarrow K^- e^+ e^+) < 0.3 \times 10^{-6}$ ,  $\mathcal{B}(D^+ \rightarrow K^- e^+ e^+) < 1.2 \times 10^{-6}$  at 90% confidence level. Some of them are improved compared to previous measurements.

#### 4 $\Lambda_c^+$ decays

The  $\Lambda_c^+$  was observed in  $e^+e^-$  annihilation at Mark II in 1979<sup>[33]</sup>. Thereafter, many works have been done to study the  $\Lambda_c^+$  decay properties. However, the knowledge of  $\Lambda_c^+$  physics are still very poor<sup>[5]</sup>. The sum of the branching fractions of the known  $\Lambda_c^+$  decays is not more than 60% and their uncertainties are large. So, significantly improved measurements of these decay branching fractions are important to comprehensively understand the  $\Lambda_c^+$  decay properties. By analyzing 567 pb<sup>-1</sup> data taken at 4.559 GeV with the BESIII detector, we study 12 hadronic decays of  $\Lambda_c^+$ , which are  $\Lambda_c^+ \to pK_S^0$ ,  $pK^-\pi^+$ ,  $pK_S^0\pi^0$ ,  $pK_S^0\pi^+\pi^-$ ,  $\Lambda\pi^+$ ,  $\Lambda\pi^+\pi^0$ ,  $\Lambda\pi^+\pi^+\pi^-$ ,  $pK^-\pi^+\pi^0$ ,  $\Sigma^0\pi^+$ ,

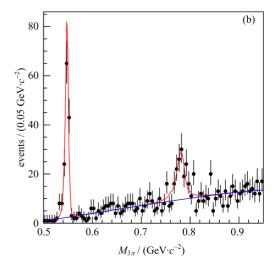


Fig. 7 Fits to the  $\pi^+$   $\pi^ \pi^0$  invariant mass spectra of the selected (a)  $D^0 \to \omega \pi^0$  and (b)  $D^+ \to \omega \pi^+$ . The blue hatched histograms are the sideband background events

 $\Sigma^+\pi^0$ ,  $\Sigma^+\pi^+\pi^-$  and  $\Sigma^+\omega^{[34]}$ . Fig. 8 shows the fits to the  $M_{\rm BC}$  spectra of the accepted single tag candidates. From these, we obtain about 15 thousand of the singly tagged  $\Lambda_{\epsilon}^+$  baryons.

At the recoil systems of the singly tagged  $\Lambda_c^+$  baryons, we select the candidates for the doubly tagged  $\Lambda_c^+\overline{\Lambda}_c^-$  baryon pairs. Fig. 9 shows the fits to the  $M_{\rm BC}$  spectra of the accepted candidates. From these, we obtain about one thousand of the doubly tagged  $\Lambda_c^+\overline{\Lambda}_c^-$  baryon pairs.

By combining the singly tagged  $\Lambda_c^+$  baryons and the doubly tagged  $\Lambda_c^+\overline{\Lambda}_c^-$  baryon pairs, we determine the absolute branching fractions of these

twelve decays, which are

$$\mathcal{B}(\Lambda_{c}^{+} \to pK_{S}^{0}) = (1.48 \pm 0.08)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to pK^{-}\pi^{+}) = (5.77 \pm 0.27)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to pK_{S}^{0}\pi^{0}) = (1.77 \pm 0.12)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to pK_{S}^{0}\pi^{+}\pi^{-}) = (1.43 \pm 0.10)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to pK^{-}\pi^{+}\pi^{0}) = (4.25 \pm 0.10)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Lambda\pi^{+}) = (1.20 \pm 0.67)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Lambda\pi^{+}\pi^{0}) = (6.70 \pm 0.35)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Lambda\pi^{+}\pi^{+}\pi^{-}) = (3.67 \pm 0.23)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Sigma^{0}\pi^{+}) = (1.28 \pm 0.68)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Sigma^{+}\pi^{0}) = (1.18 \pm 0.11)\%,$$

$$\mathcal{B}(\Lambda_{c}^{+} \to \Sigma^{+}\pi^{+}\pi^{-}) = (3.58 \pm 0.22)\%,$$

 $\mathcal{B}(\Lambda_c^+ \to \Sigma^+ \omega) = (1.47 \pm 0.18)\%,$ 

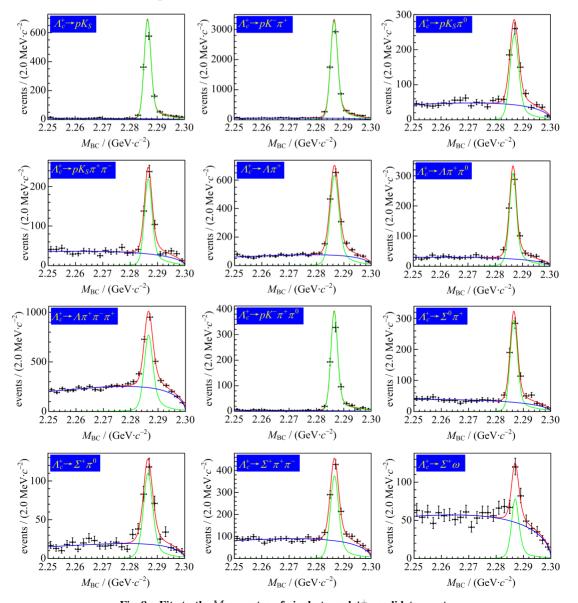


Fig. 8 Fits to the  $M_{\rm BC}$  spectra of singly tagged  $\Lambda_c^+$  candidate events

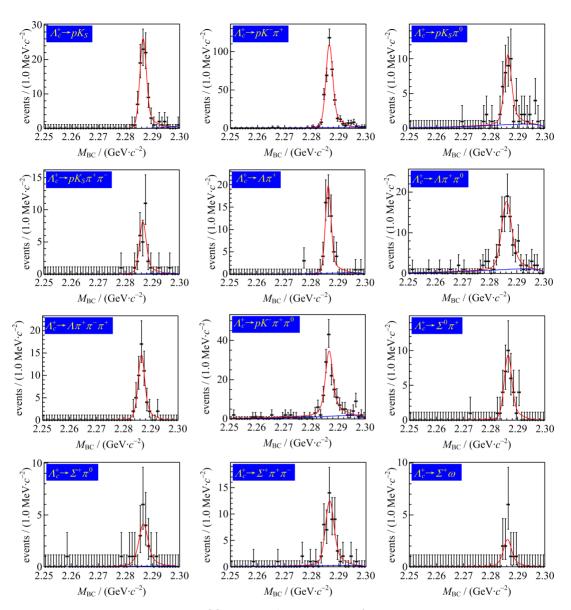


Fig. 9 Fits to the  $M_{\rm BC}$  spectra of doubly tagged  $\Lambda_c^+$  candidate events

where the uncertainties are only statistical. These results are more precise than the PDG values<sup>[5]</sup>. The  $\mathcal{B}(\Lambda_c^+ \to pK^-\pi^+)$  measured in this wok and the one measured at BELLE<sup>[35]</sup> will calibrate other decay rates of  $\Lambda_c^+$  with much better precision.

We also perform the first absolute measurement of the semileptonic decay of  $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e^{[36]}$ . The  $U_{\rm miss}$  distribution of the selected candidates is shown in Fig. 10. After subtracting background, we obtain 103.5  $\pm$  10.9 signal events of  $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$ . This leads to the absolute branching fraction to be  $\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e) = (3.63 \pm 0.38 \pm 0.20)\%$ . This work improves the precision

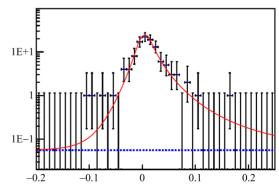


Fig. 10  $U_{\rm miss}$  distribution of the selected  $\Lambda_c^+ o \Lambda e^+ \ 
u_e$  candidates

of the world average value<sup>[5]</sup> more than two fold. As the theoretical predictions on this rate vary in a

large range of  $(1.4 \sim 9.2)\%$ , this measurement provides a stringent test on these models.

#### 5 Conclusion

By analyzing 2.93 and 0.567 fb<sup>-1</sup> data taken at  $\sqrt{s} = 3.773$  and 4.599 GeV with the BESIII detector, we report the precise measurements of the decay constant  $f_{D^+}$ , the form factors of D semileptonic decays, the Dalitz plot analysis of  $D^+ \rightarrow K_S^0 \pi^+ \pi^0$ , the strong phase differences in  $D \rightarrow$  $K_{S/L}^0\pi^+\pi^-$  and  $K^-\pi^+$ , the  $D^0\overline{D}{}^0$  mixing parameter  $y_{CP}$ , the searches for 2-body hadronic decays  $D^{0(+)} \rightarrow \omega \pi^{0(+)}$ , rare decays of  $D^0 \rightarrow \gamma \gamma$  and  $D^+ \rightarrow K(\pi)^{\pm} e^{\mp} e^{+}$  as well as the significant improved measurements of the absolute branching fractions for  $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$  and 12 hadronic final states. These are important to test the LQCD calculations on  $f_{D^+}$  and the form factors of D semileptonic decays, to test the CKM matrix unitarity, to search for New Physics beyond the SM, and to comprehensively understand the  $\Lambda_c^+$ decay property. In 2016, BESIII will collect 3 fb<sup>-1</sup> data at 4.18 GeV. More interesting physics based on charmed mesons and charmed baryons will hopefully be achieved at BESIII in the near future.

#### References

- [1] ABLIKIM M, ACHASOV M N, ALBAYRAKET O, et al (BESIII Collaboration). Measurement of the integrated luminosities of the data taken by BESIII at √s=3.650 and 3.773 GeV[J]. Chin Phys C, 2013, 37: 123001.
- [2] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Precision measurement of the integrated luminosity of the data taken by BESIII at center-of mass energies between 3.810 GeV and 4.600 GeV[J]. Chin Phys C, 2015, 39: 093001.
- [3] ABLIKIM M, AN Z H, BAI J Z, et al (BESIII Collaboration). Design and construction of the BESIII detector[J]. Nucl Phys Meth A, 2010, 614: 345-399.
- [4] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Precision measurements of  $\mathcal{B}(D^+ \to \mu^+ \nu_\mu)$ , the pseudoscalar decay constant  $f_{D^+}$ , and the quark mixing matrix element  $|V_{al}|$  [J]. Phys Rev D, 2014, 89: 051104(R).

- [5] OLIVE K A, AGASHE K, AMSLER C, et al (Particle Data Group). Review of particle physics[J]. Chin Phys C, 2014, 38: 090001.
- [ 6 ] EISENSTEIN B I, KARLINER I, MEHRABYAN S, et al. (CLEO Collaboration). Precision measurement of  $\mathcal{B}(D^+ \to \mu^+ \nu)$  and the pseudoscalar decay constant  $f_{D^+}$  [J]. Phys Rev D, 2008, 78: 052003.
- [7] FOLLANA E, DAVIES CTH, LEPAGE GP, et al. (HPQCD and UKQCD Collaborations). High precision determination of the π, K, D and D<sub>s</sub> decay constants from lattice QCD[J]. Phys Rev Lett, 2008, 100: 062002.
- [8] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Study of dynamics of  $D^0 \rightarrow K^- e^+ v_e$  and  $D^0 \rightarrow \pi^- e^+ v_e$  decays [J]. Phy Rev D, 2015, 92: 072012.
- [9] BECIREVIC D, KAIDALOV A B. Comment on the heavy→ light form-factors [J]. Phys Lett B, 2000, 478: 417-423.
- [10] BECHER T, HILL R J. Comment on form-factor shape and extraction of  $|V_{ub}|$  from  $B \rightarrow \pi l v$  [J]. Phys Lett B, 2006, 633: 61-69.
- [11] NA H, DAVIES C T H, FOLLANA E, et al (HPQCD Collaboration). The D→K, lv semileptonic decay scalar form factor and |V<sub>cs</sub>| from Lattice QCD [J]. Phys Rev D, 2010, 82: 114506.
- [12] NA H, DAVIES C T H, FOLLANA E, et al. (HPQCD Collaboration).  $D \rightarrow \pi$ , lv semileptonic decays,  $|V_{cd}|$  and 2nd row unitarity from lattice QCD [J]. Phys Rev D, 2010, 84: 114505.
- [13] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Study of decay dynamics and CP asymmetry in  $D^+ \rightarrow K_L^0 e^+ v_e$  decay [J]. Phys Rev D, 2015, 92: 112008.
- [14] ABLIKIM M, ACHASOV M N, AI X C, et al. (BESIII Collaboration). Study of  $D^+ \rightarrow K^- \pi^+ e^+ v_e$  [DB/OL]. arXiv:1512.08627[hep-ex].
- [15] KÖRNER J G, SCHULER G A. Exclusive semileptonic heavy meson decays including lepton mass effects[J]. Z Phys C, 1990, 46: 93-109.
- [16] Gilman F J, Singleton R L Jr. Analysis of semileptonic decays of mesons containing heavy quarks [J]. Phys Rev D, 1990, 41: 142.
- [17] LINK J M, REYES M, YAGER P M, et al. (FOCUS Collaboration). New measurements of the  $D^+ \rightarrow \overline{K}^{*0} \mu^+ v$  form-factor ratios [J]. Phys Lett B, 2002, 544: 89-96.
- [18] ABLIKIM M, ACHASOV M N, AI X C, et al. (BESIII Collaboration). Measurement of the form factors in the decay  $D^+ \rightarrow \omega e^+ v_e$  and search for the

- decay  $D^+ \rightarrow \phi e^+ v_e[J]$ . Phy Rev D, 2015, 92: 071101.
- [19] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Amplitude analysis of the  $D^+ \rightarrow K_S^0 \pi^+ \pi^0$  Dalitz plot [J]. Phys Rev D, 2014, 89: 052001.
- [20] BERINGER J, ARGUIN J F, BARNETT R M, et al (Particle Data Group). Review of particle physics [J]. Phys Rev D, 2012, 86: 010001.
- [21] AMBROSE D, MURAMATSU H, THORNDIKE E (for BESIII Collaboration). Relative strong-phase difference between  $D^0$  and  $\overline{D}^0 \rightarrow K_S^0 \pi^+ \pi^-$  at BESIII [EB/OL]. http://meetings. aps. org/link/BAPS. 2014. APR, Y12, 7.
- [22] BRIERE R A, VOGEL H, ONYISI P U E, et al (CLEO Collaboration). First model-independent determination of the relative strong phase between  $D^0$  and  $\overline{D}{}^0 \rightarrow K_S^0 \pi^+ \pi^-$  and its impact on the CKM Angle  $\gamma/\phi_3$  measurement [ J ]. Phys Rev D, 2009, 80: 032002.
- [23] LIBBY J, KORNICER M, MITCHELL R E, et al (CLEO Collaboration). Model-independent determination of the strong-phase difference between  $D^0$  and  $\overline{D}{}^0 \rightarrow K^0_{S,L} h^+ h^- (h = \pi; K)$  and its impact on the measurement of the CKM angle  $\gamma/\phi_3$  [J]. Phys Rev D, 2010, 82; 112006.
- [24] GIRI A, GROSSMAN Y, SOFFER A, et al. Determining  $\gamma$  using  $B^{\pm} \rightarrow DK^{\pm}$  with multibody D decays [J]. Phys Rev D, 2003, 68: 054018.
- [25] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Measurement of  $y_{CP}$  in  $D^0 \overline{D}{}^0$  oscillation using quantum correlations in  $e^+e^- \rightarrow D^0 \ \overline{D}{}^0$  at  $\sqrt{s}=3.773$  GeV [J]. Phys Lett B, 2015, 744: 339-346.
- [26] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Measurement of the  $D \rightarrow K^-\pi^+$  strong phase difference in  $\psi(3770) \rightarrow D^0 \overline{D}^0$  [J]. Phys Lett B, 2014, 734; 227-233.

[27] Heavy Flavor Averaging Group. Charm physics [EB/OL]. http://www.slac.stanford.edu/xorg/hfag/charm/.

第 46 卷

- [28]CHENG H Y, CHIANG C W. Two-body hadronic charmed meson decays [J]. Phys Rev D, 2010, 81: 074021.
- [29] RUBIN P, CAWLFIELD C, EISENSTEIN B I (CLEO Collaboration). New measurements of Cabibbosuppressed decays of *D* mesons in CLEO-c detector [J]. Phys Rev Lett, 2006, 96: 081802.
- [30] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Observation of the singly cabibbo-suppressed decay  $D^+ \rightarrow \omega \pi^+$  and evidence for  $D^0 \rightarrow \omega \pi^0 \lceil J \rceil$ . Phy Rev Lett, 2016, 116: 082001.
- [31] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Search for  $D^0 \rightarrow \gamma \gamma$  and improved measurement of the branching fraction for  $D^0 \rightarrow \pi^0 \pi^0 [J]$ . Phy Rev D, 2015, 91: 112015.
- [32] Zhao M G (for BESIII Collaboration). D rare/forbidden decays at BESIII [DB/OL]. arXiv: 1605. 08952[hep-ex].
- [33] ABRAMS G S, ALAM M S, BLOCKER C A, et al (Mark II Collaboration). Observation of charmed baryon production in  $e^+e^-$  annihilation [J]. Phys Rev Lett, 1980, 44: 10-13.
- [34] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Measurements of absolute hadronic branching fractions of  $\Lambda_c^+$  baryon [J]. Phy Rev Lett, 2016, 116: 052001.
- [35] ZUPANC A, BARTEL C, GABYSHEV N, et al (Belle Collaboration). Measurement of the branching fraction  $\mathcal{B}(\Lambda_c^+ \to pK^-\pi^+)$  [J]. Phys Rev Lett, 2014, 113: 042002.
- [36] ABLIKIM M, ACHASOV M N, AI X C, et al (BESIII Collaboration). Measurement of the absolute branching fraction for  $\Lambda_c^+ \to \Lambda e^+ v_e$  [J]. Phy Rev Lett, 2015, 115; 221805.