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# Ore-type condition for loose Hamilton cycles in 3-uniform hypergraphs

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**Abstract:** A classic result of Ore in 1960 states that if the degree sum of any two independent vertices in an n-vertex graph is at least n, then the graph is Hamiltonian. Here a similar problem for 3-uniform hypergraph was studied and an approximate result was obtained.

Key words: Ore-type condition; hypergraph; Hamilton cycle; degree

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# 3 一致超图中线性哈密顿圈的 Ore 条件

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摘要: 1960 年, Ore 证明了如下结果: 如果一个n 点图的任意两个独立点的度和不小于n, 那么它包含一个哈密顿圈. 将这个结果推广到 3 一致超图上, 并得到一个近似最优的结果.

关键词: Ore 条件;超图;哈密顿圈;度

## 0 Introduction

Given  $k \ge 2$ , a k-uniform hypergraph (k-graph for short) consists of a vertex set V and an edge set  $E \subseteq \binom{V}{k}$ . For  $1 \le \ell \le k-1$ , a k-graph is called an  $\ell$ -cycle if its vertices can be ordered cyclically so that each of its edges consists of k consecutive vertices and every two consecutive edges share exactly  $\ell$  vertices. A (k-1)-cycle is often called a tight cycle while a 1-cycle is often called a loose cycle. We say that a k-graph contains a Hamilton  $\ell$ -cycle

if it contains an *t*-cycle as a spanning subhypergraph.

For a set  $A \subseteq V(H)$ , let H [A] be the subgraph induced by A. For two vertex sets S,  $R \subseteq V(H)$  with |S| < k, let  $N_H(S,R) = \{T: T \subseteq R \text{ such that } S \cup T \in E(H)\}$  and  $\deg_H(S,R) = |N_H(S,R)|$ . By the definitions here,  $\deg_H(S) = \deg_H(S,V(H))$ . If  $S = \{v\}$ , write  $\deg_H(v,R)$  for  $\deg_H(\{v\},R)$ . The minimum d-degree  $\delta_d(H)$  of H is the minimum of  $\deg_H(S)$  over all d-element vertex sets S in H. The subscript will be omitted if the underlying hypergraph is clear from the context.

Ore<sup>[1]</sup> showed that a graph G with  $\sigma_2(G) \geqslant n$  is Hamiltonian, here  $\sigma_2(G) = \min\{d(u) + d(v): u, v \text{ are independent}\}$ . Tang and  $Yan^{[2]}$  generalized this theorem to k-graphs.

**Definition 0.1** Let H be a k-graph,  $S, T \in \binom{V}{k-1}$ , we say S, T are independent if there exists no such edge e that  $S \cup T \subseteq e$ . Set

$$\sigma_2(H) = \min\{\deg(S) + \deg(T): S, T \in \binom{V(H)}{k-1},$$

S and T are independent $\}$ .

**Theorem 0.1**<sup>[2]</sup> For every  $k \ge 3$ ,  $\gamma > 0$ , there exists  $n_0$  such that every  $n \ge n_0$  vertices k-graph H with  $\sigma_2(H) \ge (1+\gamma)n$  contains a tight Hamilton cycle.

We study a similar problem by considering loose Hamilton cycles in 3-graphs.

**Theorem 0. 2**<sup>[3]</sup> There is an integer  $n_0$  such that every 3-graph H on  $n \ge n_0$  vertices with n even and  $\delta_2(H) \ge n/4$  contains a loose Hamilton cycle.

**Theorem 0.3**<sup>[3]</sup> Let  $\gamma > 0$ , then there exists  $n_0$  such that every  $n \ge n_0$  vertices 3-graph H with n even and  $\sigma_2(H) \ge (1/2+\gamma)n$  contains a loose Hamilton cycle.

This bound is approximately best possible since it was shown in Ref. [3] that there exists a 3-graph H on n vertices with  $\delta_2(H) \geqslant (n-2)/4$ , which contains no loose Hamilton cycle.

To prove Theorem 0.3, we will use the so-called absorbing method.

We say that a 3-graph H is a (loose) path if its vertices can be ordered as  $v_1$ ,  $v_2$ ,  $\cdots$ ,  $v_{2m+1}$  so that  $E(H) = \{ \{v_{2i+1}, v_{2i+2}, v_{2i+3}\} : i = 0, \cdots, m-1 \}$  with endpoints  $\{v_1, v_{2m+1}\}$ .

A path P with endpoints  $v_1$  and  $v_2$  is said to absorb  $U \subseteq V \setminus V(P)$  if there is a path Q in H with endpoints  $v_1$  and  $v_2$  and such that  $V(Q) = V(P) \cup U$ .

### 1 Proof of Theorem 0.3

To prove Theorem 0.3, we need the following lemma.

**Lemma 1. 1** Given  $\gamma > 0$ , let H be an n vertices 3-graph with  $\sigma_2(H) \geqslant \left(\frac{1}{2} + \gamma\right)n$  and with

n sufficiently large, then for any  $K \in \binom{V(H)}{2}$  with deg (K) < n/4 and  $0 < \alpha < 1/40000$ , there exists a path P in H such that

 $(I) |V(P)| \leq \alpha n.$ 

( [] )  $K \subset V(P)$ ,  $\{u,v\} \cap K = \emptyset$ , where u,v are the endpoints of P.

(||||) Any vertex set  $U \subset V \setminus V(P)$  with  $|U| \le \alpha^2 n$ ,  $|U| \in 2\mathbb{N}$  can be absorbed by P.

The following lemma provides a collection of paths covering nearly all the vertices in hypergraph.

**Lemma 1.2**(Path cover lemma<sup>[4]</sup>) For every  $\gamma, \varepsilon > 0$ , there exist  $n_0$ , p such that every 3-graph H = (V, E) on  $n > n_0$  vertices with  $\delta_2$   $(H) \geqslant (1/4+\gamma)n$  the following holds. There is a family of disjoint paths  $P_1, \dots, P_q$   $(q \leqslant p)$ , which covers all but at most  $\varepsilon n$  vertices of H.

The following lemma is used to connect the paths into one path.

**Lemma 1.3** (Reservoir Lemma<sup>[4]</sup>) For every  $d, \varepsilon > 0$ , there exists  $n_0$  such that every 3-graph H = (V, E) on  $n > n_0$  vertices with  $\delta_2(H) \geqslant dn$  the following holds. There is a set R of size at most  $\varepsilon n$  such that for all 2-set  $S \in \binom{V}{2}$ , we have  $\deg(S, R) \geqslant d\varepsilon n/2$ .

**Proof of Theorem 0.3** If  $\deg(S) \geqslant n/4$  for all  $S \in \binom{V(H)}{2}$ , then by Theorem 0.2 H contains a loose Hamilton cycle. So we can fix some  $K \in \binom{V(H)}{2}$  with  $\deg(K) < n/4$ .

Let P be the path guaranteed by lemma 1.1 (applied with  $\alpha < \gamma/4$ ), let u,v be the endpoints of P. Let  $V' = (V \setminus V(P)) \cup \{u,v\}$  and let H' = H[V'] be the induced subhypergraph of H on V'. Then

$$\delta_2(H') > \left(\frac{1}{4} + \gamma\right)n - \alpha n > \left(\frac{1}{4} + \frac{3\gamma}{4}\right)n$$
.

Due to Lemma 1.3 with d=1/4,  $\varepsilon=\alpha^2/2$ , we can choose a set  $R \subseteq V' \setminus \{u,v\}$  of size at most  $\alpha^2 n/2$  such that for every  $S \in \binom{V'}{2}$ ,

$$\deg(S,R) \geqslant \frac{\alpha^2 n}{16} - 2.$$

Set  $V'' = V \setminus (V(P) \cup R)$  and let H'' = H [V''] be the induced subhypergraph of H on V'', then

$$\delta_2(H'') > \left(\frac{1}{4} + \gamma\right)n - \alpha n - \frac{\alpha^2 n}{2} > \left(\frac{1}{4} + \frac{\gamma}{2}\right)n.$$

Lemma 1.2 applied to H'' with  $\varepsilon = \alpha^2/2$  yields a family of disjoint paths  $P_1, \dots, P_q$ , which covers all but at most  $(\alpha^2 n)/2$  vertices of H''. Use T to denote the set of the uncovered vertices in V''.

Let  $P_0 := P$ , and let  $P_i^b, P_i^e$  be the endpoints of  $P_i(i=0,1,\cdots,q)$ . For sufficiently large n,

$$\deg(\{P_i^e, P_{(i+1)(\text{mod}(q+1))}^b\}, R) \geqslant \frac{\alpha^2 n}{16} - 2 > q + 1.$$

Therefore for each  $i \in \{0, 1, \dots, q\}$ , we can choose a vertex  $x_i \in R \setminus (\bigcup_{0 \le j < i} x_j)$  such that  $P_i^e x_i P_{(i+1) \pmod{(q+1)}}^b$  is an unused edge. Hence, we can connect all these paths to form a loose cycle  $\mathfrak{C}$ .

Let  $U = V \setminus V(\mathfrak{C})$  be the set of vertices not covered by  $\mathfrak{C}$ , then  $U \subseteq R \cup T$  and

$$\mid U \mid \leqslant \frac{\alpha^2 n}{2} + \frac{\alpha^2 n}{2} = \alpha^2 n.$$

Since  $\mathfrak C$  is a loose cycle and  $n\in 2\mathbb N$ , we have  $|U|\in 2\mathbb N$ . So P absorbs U to obtain a loose Hamilton cycle of H.

#### 2 Proof of Lemma 1, 1

**Proof of Lemma 1.1** For  $S \in {V \choose 2}$ , a 5-set

 $T = \{u_1, \dots, u_5\}$  is said to absorb S if there is a path in H [T] on five vertices and a path in  $H[S \cup T]$  on seven vertices both with endpoints  $u_1$  and  $u_5$ .

Claim 2.1 For any 2-set  $S \in \binom{V}{2}$  with S = K

or  $S \cap K = \emptyset$ , there are at least  $\binom{n-2}{5}/500$  5-set

 $T \in {V \setminus K \choose 5}$  that absorb S.

**Proof** Set  $S = \{v_1, v_2\}$ .

Case 2.1  $S \cap K = \emptyset$ .

For any 2-set  $S' \in \binom{V \setminus K}{2}$ , since S' and K are independent, we have  $\deg(S') > (1/4 + \gamma)n$ .

Set  $V' = V \setminus K$ , we can select  $T = \{u_1, u_2, u_3, u_4, u_5\}$  as follows. Let  $u_1 \in V' \setminus S$  be an arbitrary vertex, then  $u_1$  has n-4 choices; select  $u_2 \in$ 

 $(N(u_1,v_1)\setminus\{v_2\})\cap V'$ , then  $u_2$  has  $(1/4+\gamma)n-3$  choices; select  $u_3\in (N(u_1,u_2)\setminus\{v_1,v_2\})\cap V'$ , then  $u_3$  has  $(1/4+\gamma)n-4$  choices; select  $u_4\in (N(u_2,v_2)\setminus\{v_1,u_1,u_3\})\cap V'$ , then  $u_4$  has  $(1/4+\gamma)n-5$  choices; select  $u_5\in (N(u_3,u_4)\setminus\{v_1,v_2,u_1,u_2\})\cap V'$ , then  $u_5$  has  $(1/4+\gamma)n-6$  choices. There are at least

$$(n-4)\left(\left(\frac{1}{4}+\gamma\right)n-3\right)\left(\left(\frac{1}{4}+\gamma\right)n-4\right) \cdot \left(\left(\frac{1}{4}+\gamma\right)n-5\right)\left(\left(\frac{1}{4}+\gamma\right)n-6\right)/5! \geqslant \left(\frac{n-2}{5}\right)/500$$

choices for T. We have  $u_1u_2u_3$ ,  $u_3u_4u_5 \in E(H)$  and  $u_1v_1u_2$ ,  $u_2v_2u_4$ ,  $u_4u_3u_5 \in E(H)$ , so  $T = \{u_1, u_2, u_3, u_4, u_5\}$  is indeed a 5-set which absorb S.

Case 2.2 S = K.

We select  $T = \{u_1, u_2, u_3, u_4, u_5\}$  as follows. Firstly we select  $u_2$  from  $V \setminus (N(S) \cup S)$ , then  $\{u_2, v_1\}, \{u_2, v_2\}$  are both independent with S, so  $\deg(u_2, v_1), \deg(u_2, v_2) > (1/4+\gamma)n$ . Select  $u_1 \in N(u_2, v_1) \setminus \{v_2\}$ , we have  $(1/4+\gamma)n$  choices; select  $u_3 \in N(u_2, u_1) \setminus \{v_1, v_2\}$ , we have  $(1/4+\gamma)n-2$  choices; select  $u_4 \in N(u_2, v_2) \setminus \{v_1, u_1, u_3\}$ , we have  $(1/4+\gamma)n-2$  choices; select  $u_5 \in N(u_3, u_4) \setminus \{v_1, v_2, u_1, u_2\}$ , we have  $(1/4+\gamma)n-4$  choices, so there are at least

$$\left(n-2-\frac{n}{4}\right)\left(\frac{1}{4}+\gamma\right)n\left(\left(\frac{1}{4}+\gamma\right)n-2\right) \cdot \\ \left(\left(\frac{1}{4}+\gamma\right)n-2\right)\left(\left(\frac{1}{4}+\gamma\right)n-4\right) \setminus 5! \geqslant \\ \left(\frac{n-2}{5}\right) / 500$$

choices for T. We have  $u_1u_2u_3$ ,  $u_3u_4u_5 \in E(H)$  and  $u_1v_1u_2$ ,  $u_2v_2u_4$ ,  $u_4u_3u_5 \in E(H)$ , So  $T = \{u_1, u_2, u_3, u_4, u_5\}$  is indeed a 5-set which absorb S.

From now on, whenever we mention a 5-set absorb a pair  $v_1, v_2 \in V$ , we always assume that  $\{v_1, v_2\} = K$  or  $\{v_1, v_2\} \cap K = \emptyset$ .

Given two distinct vertices  $v_1, v_2 \in V$ , let  $A(v_1, v_2)$  be the family of 5-sets  $T \in \binom{V \setminus K}{5}$  that absorb  $\{v_1, v_2\}$ . By Claim 2.1,

$$|A(v_1,v_2)| \geqslant {n-2 \choose 5}/500.$$

Let  $\mathfrak{F}$  be a family obtained by selecting every 5-set from  $\binom{V\backslash K}{5}$  independently with probability

$$p = \frac{\alpha n}{20\binom{n-2}{5}}.$$

Then  $\mid \mathfrak{F} \mid$  satisfies binomial distribution  $B\left(\binom{n-2}{5}, p\right)$ , so its expectation is

$$\mathbb{E}\left[\mid \mathfrak{F}\mid \right] = \binom{n-2}{5} p = \frac{\alpha n}{20},$$

the variation of  $|\mathfrak{F}|$  is

$$\operatorname{Var}[\mid \mathfrak{F}\mid] = {n-2 \choose 5} p(1-p).$$

By the Chebyshev's inequality,

$$\mathbb{P}\left(\left|\left[\mathfrak{F}\right] - \mathbb{E}\left[\left|\left[\mathfrak{F}\right]\right]\right] \geqslant \mathbb{E}\left[\left|\left[\mathfrak{F}\right]\right]\right) \leqslant \frac{\operatorname{Var}\left[\left|\left[\mathfrak{F}\right]\right]\right]}{\mathbb{E}^{2}\left[\left|\left[\mathfrak{F}\right]\right]\right]} = \frac{1 - p}{\binom{n - 2}{5}p} = o(1),$$

which implies that

$$\mathbb{P}\left(\mid \mathfrak{F}\mid \geqslant \frac{\alpha n}{10}\right) = \mathbb{P}\left(\mid \mathfrak{F}\mid \geqslant 2\mathbb{E}\left[\mid \mathfrak{F}\mid \right]\right) \leqslant o\left(1\right).$$

For any  $v_1, v_2 \in V$ , let  $X_{\langle v_1, v_2 \rangle}$  be the number of 5-sets in  $\mathfrak{F}$  which absorb  $\{v_1, v_2\}$ . Then  $X_{\langle v_1, v_2 \rangle}$  has binomial distribution and

$$\begin{split} \mathbb{E}\left[X_{\langle v_1, v_2\rangle}\right] &= \mathbb{E}\left[\mid A\left(v_1, v_2\right) \cap \mathfrak{F}\mid\right] \geqslant \\ \frac{\binom{n-2}{5}}{500} p \geqslant \frac{\alpha n}{10000} > 0\,, \end{split}$$

by the Chernoff's bound,

$$\mathbb{P}\left(X_{\langle v_1, v_2 \rangle} \leqslant \frac{\mathbb{E}\left[X_{\langle v_1, v_2 \rangle}\right]}{2}\right) \leqslant \exp\left(-\frac{\mathbb{E}\left[X_{\langle v_1, v_2 \rangle}\right]}{2}\right) = o(1).$$

Let Y be the number of intersecting pairs of 5-sets in  $\mathfrak{F}$ , then

$$\mathbb{E}\left[Y\right] \leqslant 5\binom{n-2}{5}\binom{n-2}{4}p^2 \leqslant \frac{\alpha^2n}{10},$$

by the Markov's inequality, we have

$$\mathbb{P}\left(Y > 2\mathbb{E}\left[Y\right]\right) < \frac{\mathbb{E}\left[Y\right]}{2\mathbb{E}\left[Y\right]} = \frac{1}{2}.$$

Therefore, with positive probability, there exists a family  $\mathfrak{F}$ , such that

(I)  $|\mathfrak{F}| \leq \alpha n/10$ .

(
$$\parallel$$
) For any  $\{v_1, v_2\}, |A(v_1, v_2) \cap \mathfrak{F}| \geqslant$ 

 $\alpha n/20000 \geqslant 2\alpha^2 n$ .

( $\blacksquare$ ) The number of intersecting pairs of 5-set in  $\Re$  is at most  $\alpha^2 n/5$ .

Let  $\mathfrak{F}'$  be obtained from  $\mathfrak{F}$  by deleting all intersecting sets and sets that do not absorb any  $\{v_1, v_2\}$ , then

(I)  $|\Re'| \leq \alpha n/10$ .

(  $\parallel$  ) For any  $\{v_1, v_2\}$ ,  $\mid A(v_1, v_2) \cap \mathfrak{F}' \mid \geqslant \alpha^2 n + 1$ .

For any  $S \in \mathfrak{F}'$ , H[S] contains a path on five vertices. We connect these paths to a new path. Suppose that we have obtained a path P' by connecting some paths in  $\mathfrak{F}'$ , select an unused path Q, let u, v be the endpoints of P' and Q, respectively. Since

$$\deg(u,v) - 2 - (6 \mid \mathfrak{F}' \mid -2) \geqslant$$

$$\left(\frac{1}{4} + \gamma\right)n - \frac{3\alpha n}{5} > 0,$$

we can select a vertex  $x \in N(u,v) \setminus (K \cup P' \cup \mathfrak{F}')$ , and use uxv to connect P' and Q. We can do this until we obtain a path which covers all 5-sets in  $\mathfrak{F}'$ , after absorbing K, we obtain a path P, which contains at most

$$|V(P)| \leqslant \frac{\alpha n}{10} \cdot 5 + \left(\frac{\alpha n}{10} - 1\right) + 2 \leqslant \alpha n$$

vertices such that  $\{u,v\} \cap K = \emptyset$ , here u,v are the endpoints of P.

Suppose that  $U \subset V \setminus V(P)$ ,  $|U| \leq \alpha^2 n$ , and  $|U| \in 2\mathbb{N}$ , let  $S_1, \dots, S_q$   $(q \leq \alpha^2 n/2)$  be an arbitrary partition of U into sets of size 2, since  $|A(S_i) \cap \mathfrak{F}'| \geq \alpha^2 n + 1$ , we can select an unused  $T_i \in \mathfrak{F}'$  for each  $S_i$ . This implies that P absorbs U. This completed the proof.

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